

Formally Verifying and Deriving Gradual Type Systems

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Topics

Topics

e : ?

gradual types

Topics

$e : ?$

gradual types

$\vdash \forall (x) . P(x)$

formal verification

Topics

$e : ?$

gradual types

$\vdash \forall (x) . P(x)$

formal verification

$\mathbb{Z} \rightleftharpoons \{-, 0, +\}$


abstract interpretation

Topics

$e : ?$

gradual types

*Abstracting Gradual Typing
[Garcia, Clark, Tanter; 2016]*



$\mathbb{Z} \rightleftharpoons \{-, 0, +\}$

abstract interpretation

Topics

*Mechanically Verified
Computational Abstract
Interpretation (Draft)
[Darais, Van Horn; 2015]*

$$\vdash \forall (x) . P(x)$$

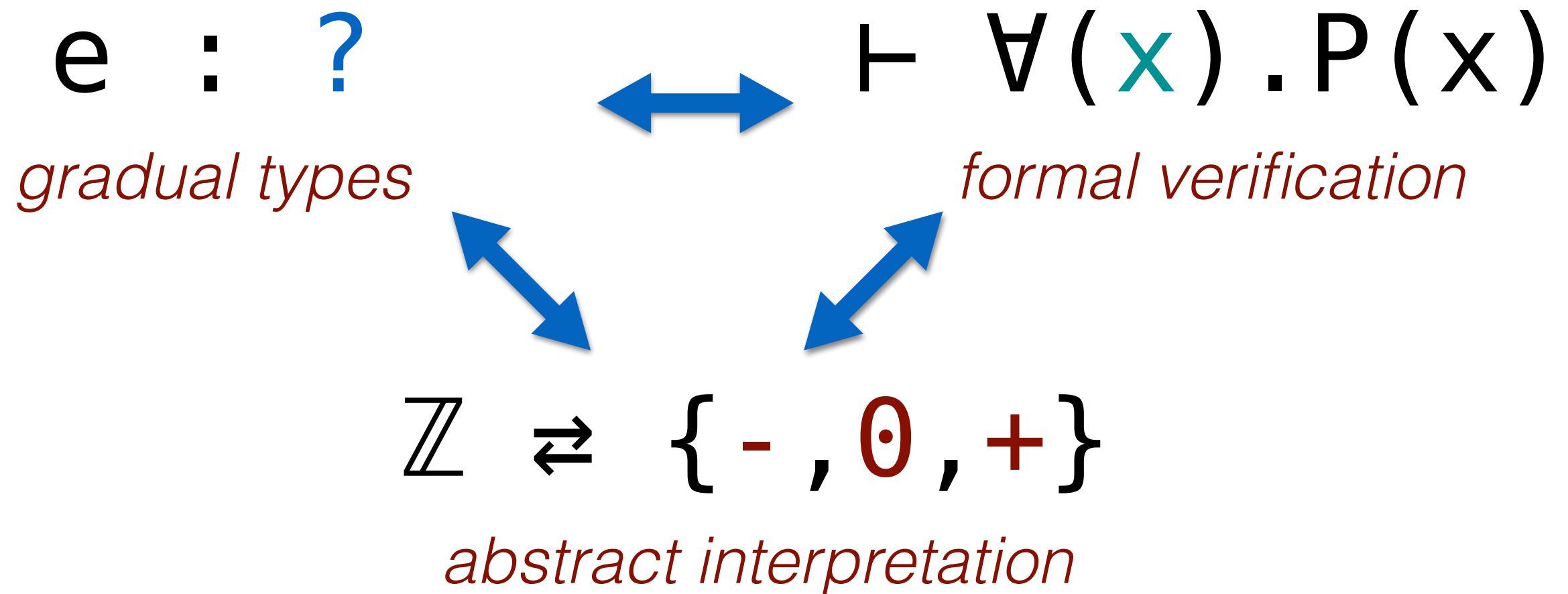
formal verification

$$\mathbb{Z} \rightleftharpoons \{-, 0, +\}$$

abstract interpretation



Topics



Deriving Gradual Type Systems

$$e : ? \quad \longleftrightarrow \quad \mathbb{Z} \approx \{-, 0, +\}$$

gradual types *abstract interpretation*

- **Challenge:**
Gradual type systems are ad-hoc and sometimes wrong
- **Insight:**
Guide design through abstract interpretation

Precise Types (Static)

Precise Types (Static)

STATICS

DYNAMICS

Precise Types (Static)

STATICS

\vdash 1 + 5 : int ✓

DYNAMICS

Precise Types (Static)

STATICS

┆ 1 + 5 : int ✓

┆ 🍌 + 5 : _ ✗

DYNAMICS

Precise Types (Static)

STATICS

┆ 1 + 5 : int ✓

┆ 🍌 + 5 : _ ✗

DYNAMICS

┆ 1 + 5 ↓ 6 ✓

Precise Types (Static)

STATICS

┆ 1 + 5 : int ✓

┆ 🍌 + 5 : _ ✗

DYNAMICS

┆ 1 + 5 ↓ 6 ✓

<what's missing?>

Precise Types (Dynamic)

STATICS

DYNAMICS

Precise Types (Dynamic)

STATICS

┆ 1 + 5 : 🙈 ✓

DYNAMICS

Precise Types (Dynamic)

STATICS

┆ 1 + 5 : 🙈 ✓

┆ 🍌 + 5 : 🙈 ✓

DYNAMICS

Precise Types (Dynamic)

STATICS

┆ 1 + 5 : 🙈 ✓

┆ 🍌 + 5 : 🙈 ✓

DYNAMICS

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Precise Types (Dynamic)

STATICS

┆ 1 + 5 : 🙈 ✓

┆ 🍌 + 5 : 🙈 ✓

DYNAMICS

┆ 1 + 5 ↓ 6 ✓

┆ 🍌 + 5 ↓ _ ✗

Gradual Types (Hybrid)

STATICS

DYNAMICS

Gradual Types (Hybrid)

STATICS

┌



+

5

:



—



x

DYNAMICS

Gradual Types (Hybrid)

STATICS

\vdash  + 5 : 

\vdash ( : ?) + 5 : int 

DYNAMICS

Gradual Types (Hybrid)

STATICS

┆ 🍌 + 5 : _ ✗



┆ (🍌 : ?) + 5 : int ✓

DYNAMICS

┆ (🍌 : ?) + 5 ↓ _ ✗

Gradual Types (Hybrid)

STATICS

\vdash  + 5 : ✗
 \vdash ( :: ?) + 5 : int ✓

DYNAMICS

\vdash ( :: ?) + 5 \Downarrow ✗
<what's missing?>

“What Do You Want?”



What Do You Want?

```
12: if(x) {🤩{1} + 3
```

What Do You Want?


```
12: if(x) {💩} {1} + 3
```

<Static Rob>



What Do You Want?

```
12: if(x) {  } { 1 } + 3
```

"I couldn't verify that, in every case, it's safe to put  there"

OR

<Static Rob>



"There exist some case where it's unsafe to put  there"


What Do You Want?


```
12: if(x) {💩} {1} + 3
```

<Gradual Rob>



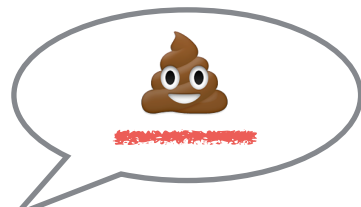
What Do You Want?


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OR

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"In every case, it's unsafe to put  there"

What Do You Want?

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12: if(x) {💩} {1} + 3
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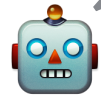
<Dynamic Rob>  

What Do You Want?

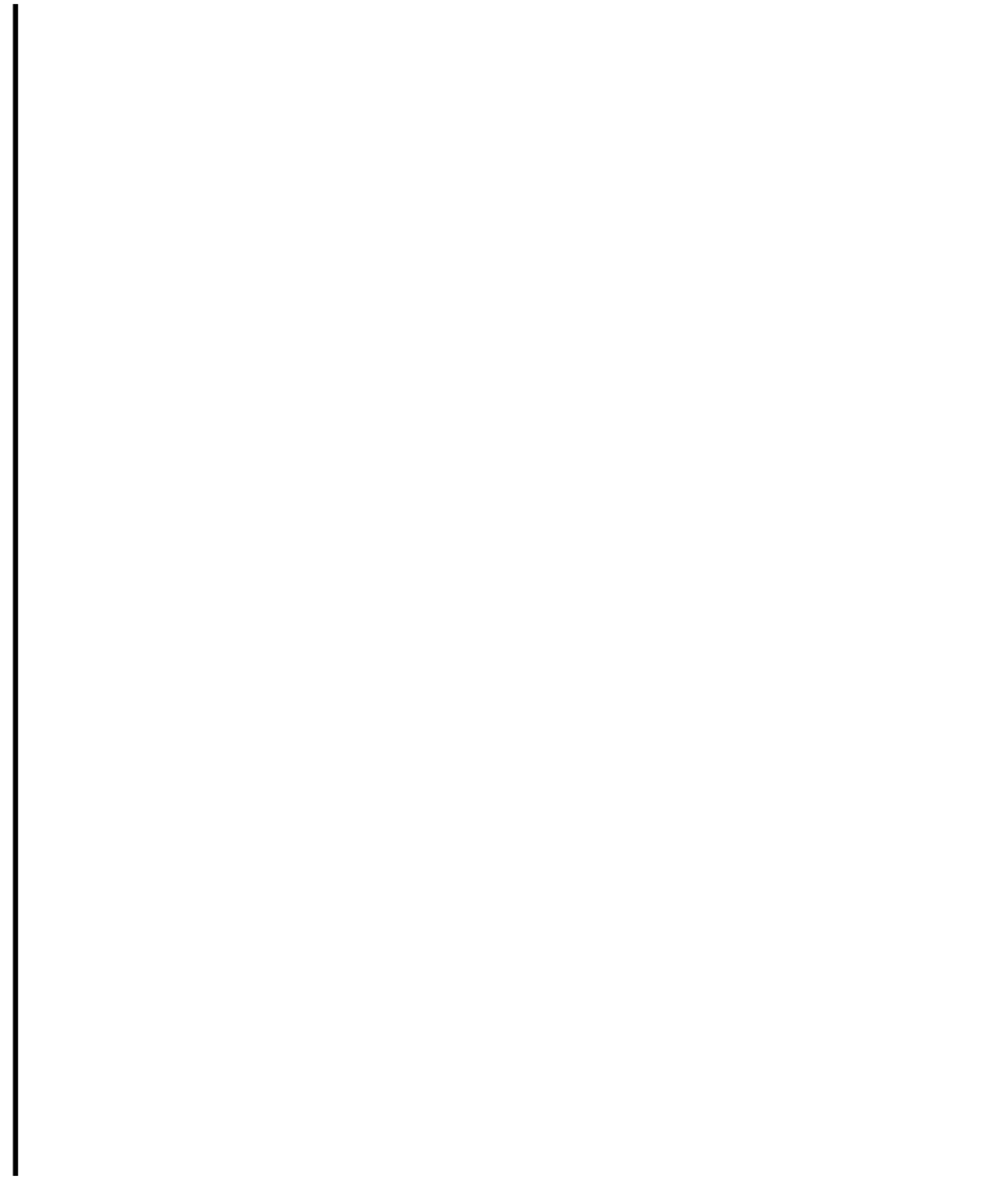
```
12: if(x) {💩} {1} + 3
```

“F*** it we’ll do it live!
👯” -Bill O’Reilly

<Dynamic Rob>



Breakdown



Breakdown

Static

Static Guarantee

Verification

“ \forall ” safety

“ \exists ” rejection

Breakdown

Static

Static Guarantee

Verification

“ \forall ” safety

“ \exists ” rejection

Gradual

Static Guarantee

Bug Finding

“ \exists ” safety

“ \forall ” rejection

Breakdown

Static

Static Guarantee

Verification

“ \forall ” safety

“ \exists ” rejection

Gradual

Static Guarantee

Bug Finding

“ \exists ” safety

“ \forall ” rejection

Dynamic

lol

lol

lol

lol

AGT In A Nutshell

$\tau \in \text{type} ::= \mathbb{B} \mid \tau \rightarrow \tau$
 $e \in \text{exp} ::= b \mid \underline{\text{if}}(e)\{e\}\{e\}$
 $\quad \quad \quad \mid x \mid \underline{\lambda}(x).e \mid e(e)$

AGT In A Nutshell

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$e_1 : \mathbb{B}$

$e_2 : \tau$

$e_3 : \tau$

$\text{if}(e_1)\{e_2\}\{e_3\} : \tau$ [\mathbb{B} - E]

AGT In A Nutshell

$\tau \in \text{type} ::= \mathbb{B} \mid \tau \rightarrow \tau$
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$e_1 : \tau_1 \rightarrow \tau_2$
 $e_2 : \tau_1$

$e_1(e_2) : \tau_2$ [\rightarrow - E]

AGT In A Nutshell



$\tau \in \text{type}^\# ::= \mathbb{B} \mid \tau \rightarrow \tau \mid ?$
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[\rightarrow - E]

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 $e \in \text{exp}^\# ::= b \mid \underline{\text{if}}(e)\{e\}\{e\}$
 $\quad \quad \quad \mid x \mid \underline{\lambda}(x).e \mid e(e) \mid e \circ \tau$



$e_1 : \mathbb{B}$

$e_2 : \tau$

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[\mathbb{B} - E]

$\underline{\text{if}}(e_1)\{e_2\}\{e_3\} : \tau$

$e_1 : \tau_1 \rightarrow \tau_2$


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[\rightarrow - E]

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$\tau \in \text{type}^\# ::= \mathbb{B} \mid \tau \rightarrow \tau \mid ?$
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 $\quad \quad \quad \mid x \mid \underline{\lambda}(x).e \mid e(e) \mid e \circ \tau$


$e_1 : \tau_1 \quad \tau_1 \sim \mathbb{B}$
 $e_2 : \tau_2$
 $e_3 : \tau_3$
----- $[\mathbb{B} - E]$ 
 $\underline{\text{if}}(e_1)\{e_2\}\{e_3\} : \tau_2 \vee \tau_3$

$e_1 : \tau_1 \rightarrow \tau_2$
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----- $[\rightarrow - E]$
 $e_1(e_2) : \tau_2$

AGT In A Nutshell

$\tau \in \text{type}^\# ::= \mathbb{B} \mid \tau \rightarrow \tau \mid ?$
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$e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21}$
 $e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}$
----- $[\rightarrow - E]$ 
 $e_1(e_2) : \tau_{21}$

Plausibility

$$\begin{array}{l} e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \\ e_2 : \tau_2 \quad \tau_2 \sim \tau_{11} \\ \hline e_1(e_2) : \tau_{21} \end{array} \quad [\rightarrow -E]$$

Plausibility

$$\frac{e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \quad e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}}{e_1(e_2) : \tau_{21}} [\rightarrow - E]$$

“It’s plausible that e_1 has some arrow type $\tau_{11} \rightarrow \tau_{21}$ ”

Plausibility

$$\frac{e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \quad e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}}{e_1(e_2) : \tau_{21}} [\rightarrow - E]$$

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$$\frac{e : \tau_1 \quad \tau_1 \sim \tau_2}{(e \circ \tau_2) : \tau_2} [\circ - I]$$

Plausibility

$$\frac{e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \quad e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}}{e_1(e_2) : \tau_{21}} [\rightarrow - E]$$

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$$\frac{e : \tau_1 \quad \tau_1 \sim \tau_2}{(e \circ \tau_2) : \tau_2} [\circ - I]$$

“I claim e *might* have type τ_2 ”

Plausibility

$$\frac{e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \quad e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}}{e_1(e_2) : \tau_{21}} [\rightarrow - E]$$

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$$\frac{}{? \sim \tau} \quad \frac{}{\tau \sim ?}$$

Plausibility

$$\frac{e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \quad e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}}{e_1(e_2) : \tau_{21}} [\rightarrow - E]$$

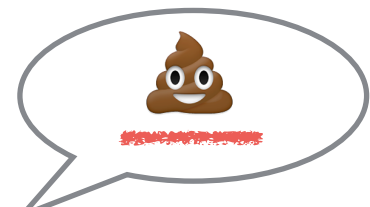
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$$\frac{e : \tau_1 \quad \tau_1 \sim \tau_2}{(e \circ \tau_2) : \tau_2} [\circ - I]$$

“I claim e *might* have type τ_2 ”

$$\frac{}{? \sim \tau} \quad \frac{}{\tau \sim ?}$$

<Gradual Rob> 



“If you say so...”

Consistent Equality

$$g\tau \sim g\tau$$

Consistent Equality

$$g\tau \sim g\tau$$

“meaning” of a
gradual type

$$\llbracket _ \rrbracket : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$$

$$\llbracket \mathbb{B} \rrbracket = \{\mathbb{B}\}$$

$$\llbracket g\tau_1 \rightarrow g\tau_2 \rrbracket = \{\tau_1 \rightarrow \tau_2 \mid \tau_1 \in \llbracket g\tau_1 \rrbracket \wedge \tau_2 \in \llbracket g\tau_2 \rrbracket\}$$

$$\llbracket ? \rrbracket = \{\tau \mid \tau \in \text{type}\}$$

Consistent Equality

$$g\tau \sim g\tau$$

“meaning” of a gradual type

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$$\llbracket ? \rrbracket = \{\tau \mid \tau \in \text{type}\}$$

consistent equalities are “plausibilities”

$$\tau_1 \in \llbracket g\tau_1 \rrbracket$$

$$\tau_2 \in \llbracket g\tau_2 \rrbracket \quad \tau_1 = \tau_2$$

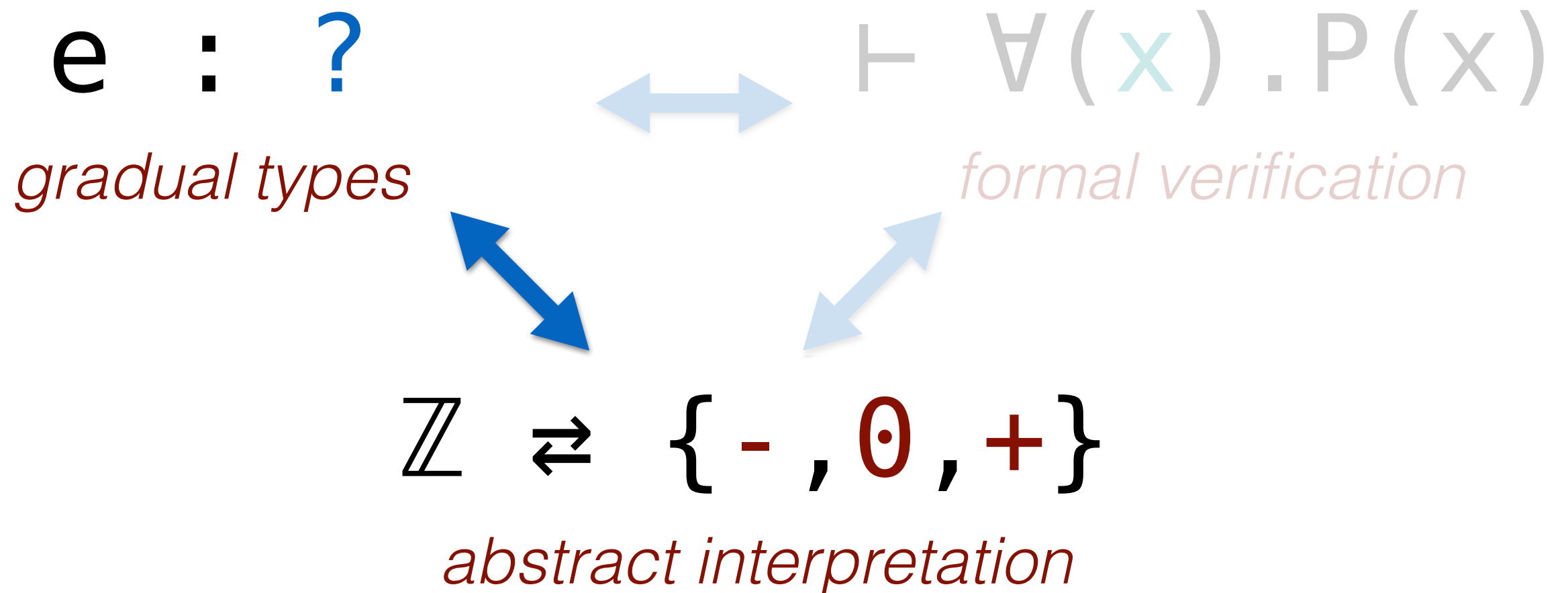
=====

$$g\tau_1 \sim g\tau_2$$

The Whole AGT Story

- The “meaning” function $\llbracket _ \rrbracket$ forms a Galois connection between precise and gradual types.
- Guided by the Galois connection, define consistent equality and derive dynamic and static semantics.
- “Semantics design by abstract interpretation.”

Formally Verifying Derived Gradual Type Systems



Formally Verifying Derived Gradual Type Systems

$$\mathbb{Z} \Leftrightarrow \{-, 0, +\} \longleftrightarrow \vdash \forall (x) . P(x)$$

abstract interpretation *formal verification*

- **Challenge:**
Galois connections are problematic in formal verification
- **Insight:**
Isolate the problem with a meta- “specification” effect

Galois Connections

$\llbracket _ \rrbracket : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$

$\llbracket \mathbb{B} \rrbracket = \{\mathbb{B}\}$

$\llbracket g\tau_1 \rightarrow g\tau_2 \rrbracket = \{\tau_1 \rightarrow \tau_2 \mid \tau_1 \in \llbracket g\tau_1 \rrbracket \wedge \tau_2 \in \llbracket g\tau_2 \rrbracket\}$

$\llbracket ? \rrbracket = \{\tau \mid \tau \in \text{type}\}$

Galois Connections

$\gamma : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$

$\gamma(\mathbb{B}) = \{\mathbb{B}\}$

$\gamma(g\tau_1 \rightarrow g\tau_2) = \{\tau_1 \rightarrow \tau_2 \mid \tau_1 \in \gamma(g\tau_1) \wedge \tau_2 \in \gamma(g\tau_2)\}$

$\gamma(?) = \{\tau \mid \tau \in \text{type}\}$

Galois Connections

$\gamma : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$

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$\gamma(?) = \{\tau \mid \tau \in \text{type}\}$

$\alpha : \mathcal{P}(\text{type}) \rightarrow \text{type}^\#$

$\alpha(\{\tau_1 \dots \tau_n\}) = \bigsqcup_i \eta(\tau_i)$

Galois Connections

$$\gamma : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$$

$$\gamma(\mathbb{B}) = \{\mathbb{B}\}$$

$$\gamma(g\tau_1 \rightarrow g\tau_2) = \{\tau_1 \rightarrow \tau_2 \mid \tau_1 \in \gamma(g\tau_1) \wedge \tau_2 \in \gamma(g\tau_2)\}$$

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$$\alpha(\{\tau_1 \dots \tau_n\}) = \bigsqcup_i \eta(\tau_i)$$

$$\eta : \text{type} \rightarrow \text{type}^\#$$

$$\eta(\mathbb{B}) = \mathbb{B}$$

$$\eta(\tau_1 \rightarrow \tau_2) = \eta(\tau_1) \rightarrow \eta(\tau_2)$$

$$\tau_1 \sqcup \tau_2 = ? \quad \text{when } \tau_1 \neq \tau_2$$

$$\tau_1 \quad \text{when } \tau_1 = \tau_2$$

Galois Connections

$\gamma : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$

$\gamma(\mathbb{B}) = \{\mathbb{B}\}$

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$\gamma(?) = \{\tau \mid \tau \in \text{type}\}$

Non-constructive

$\alpha : \mathcal{P}(\text{type}) \rightarrow \text{type}^\#$

$\alpha(\{\tau_1 \dots \tau_n\}) = \sqcup_i \eta(\tau_i)$

Constructive

$\eta : \text{type} \rightarrow \text{type}^\#$

$\eta(\mathbb{B}) = \mathbb{B}$

$\eta(\tau_1 \rightarrow \tau_2) = \eta(\tau_1) \rightarrow \eta(\tau_2)$

$\tau_1 \sqcup \tau_2 = ?$ when $\tau_1 \neq \tau_2$

τ_1 when $\tau_1 = \tau_2$

Galois Connections

“specification effect”

$$\gamma : \text{type}^\# \rightarrow \mathcal{P}(\text{type})$$

$$\gamma(\mathbb{B}) = \{\mathbb{B}\}$$

$$\gamma(g\tau_1 \rightarrow g\tau_2) = \{\tau_1 \rightarrow \tau_2 \mid \tau_1 \in \gamma(g\tau_1) \wedge \tau_2 \in \gamma(g\tau_2)\}$$

$$\gamma(?) = \{\tau \mid \tau \in \text{type}\}$$

Non-constructive

$$\alpha : \mathcal{P}(\text{type}) \rightarrow \text{type}^\#$$

$$\alpha(\{\tau_1 \dots \tau_n\}) = \bigsqcup_i \tau_i$$

Constructive

$$\eta : \text{type} \rightarrow \text{type}^\#$$

$$\eta(\mathbb{B}) = \mathbb{B}$$

$$\eta(\tau_1 \rightarrow \tau_2) = \eta(\tau_1) \rightarrow \eta(\tau_2)$$

$$\tau_1 \sqcup \tau_2 = ? \quad \text{when } \tau_1 \neq \tau_2$$

$$\tau_1 \quad \text{when } \tau_1 = \tau_2$$

In Agda

In Agda

```
data _Eγ[_] : type → type# → Set where  
  ⟨ℕ⟩ : ⟨ℕ⟩ Eγ[ ⟨ℕ⟩ ]  
  _⟨→⟩_ : ∀ {τ₁# τ₂# τ₁ τ₂}  
    → τ₁ Eγ[ τ₁# ]  
    → τ₂ Eγ[ τ₂# ]  
    → (τ₁ ⟨→⟩ τ₂) Eγ[ τ₁# ⟨→⟩ τ₂# ]  
  ⟨?⟩ : ∀ {τ} → τ Eγ[ ⟨?⟩ ]
```


In Agda

```
data _Eγ[_] : type → type# → Set where  
  ⟨ℕ⟩ : ⟨ℕ⟩ Eγ[ ⟨ℕ⟩ ]  
  _⟨→⟩_ : ∀ {τ₁# τ₂# τ₁ τ₂}  
    → τ₁ Eγ[ τ₁# ]  
    → τ₂ Eγ[ τ₂# ]  
    → (τ₁ ⟨→⟩ τ₂) Eγ[ τ₁# ⟨→⟩ τ₂# ]  
  ⟨?⟩ : ∀ {τ} → τ Eγ[ ⟨?⟩ ]
```

```
η : type → type#  
η(⟨ℕ⟩) = ⟨ℕ⟩  
η(τ₁ ⟨→⟩ τ₂) = η(τ₁) ⟨→⟩ η(τ₂)
```

In Agda

`data` $_ \in \gamma [_]$: type \rightarrow type# \rightarrow Set where

$\langle \mathbb{B} \rangle$: $\langle \mathbb{B} \rangle \in \gamma [\langle \mathbb{B} \rangle]$

$_ \langle \rightarrow \rangle _$: $\forall \{ \tau_1 \# \tau_2 \# \tau_1 \tau_2 \}$

$\rightarrow \tau_1 \in \gamma [\tau_1 \#]$

$\rightarrow \tau_2 \in \gamma [\tau_2 \#]$

$\rightarrow (\tau_1 \langle \rightarrow \rangle \tau_2) \in \gamma [\tau_1 \# \langle \rightarrow \rangle \tau_2 \#]$

$\langle ? \rangle$: $\forall \{ \tau \} \rightarrow \tau \in \gamma [\langle ? \rangle]$

- OCaml: Datatype
- Math: Inductive Judgment

η : type \rightarrow type#

$\eta(\langle \mathbb{B} \rangle) = \langle \mathbb{B} \rangle$

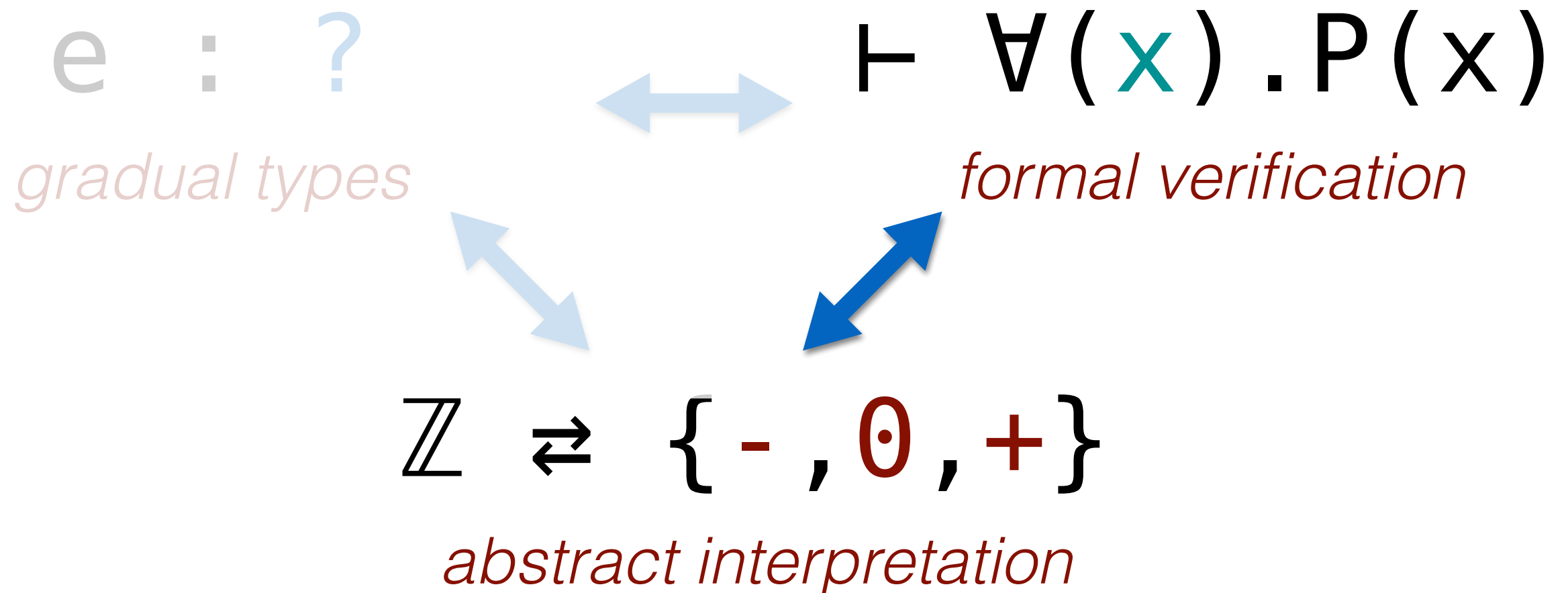
$\eta(\tau_1 \langle \rightarrow \rangle \tau_2) = \eta(\tau_1) \langle \rightarrow \rangle \eta(\tau_2)$

- OCaml: Function
- Math: Computable Function

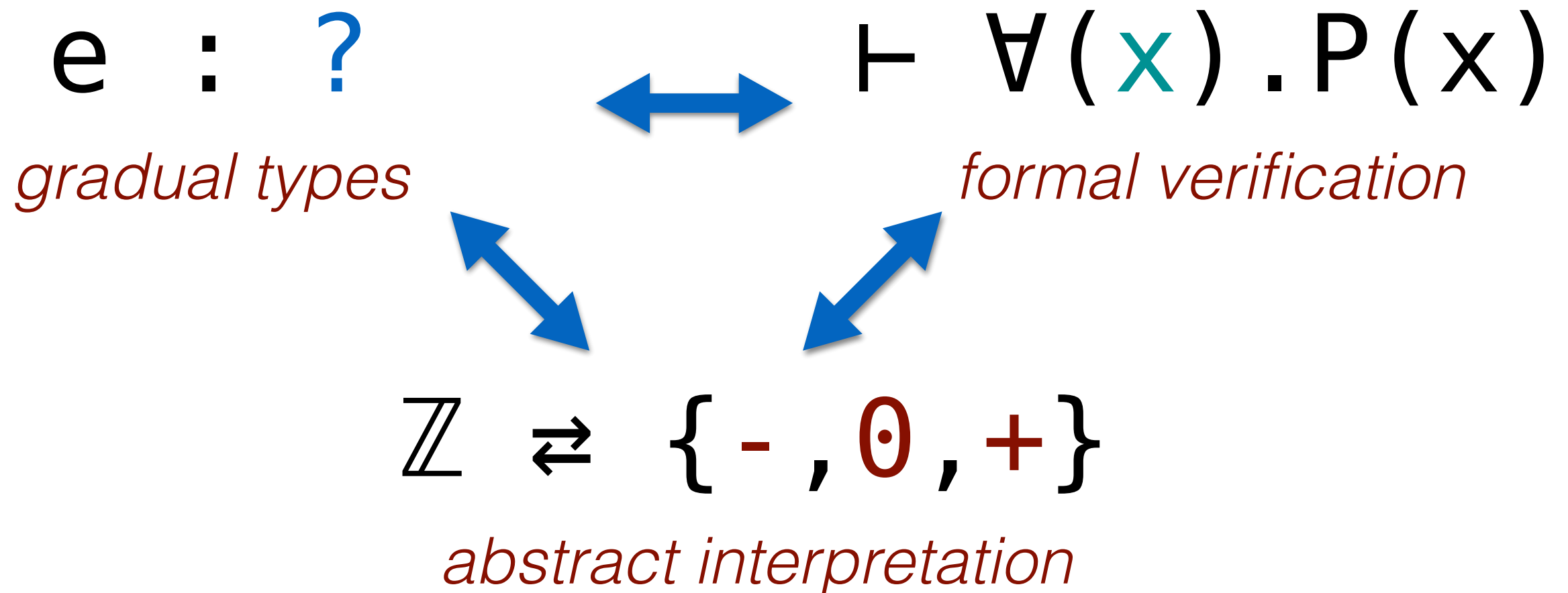
Constructive Galois Connections

- Extracting verified computation from proof assistants is based on *constructive logic*
- Problem: classical Galois connections are nonconstructive
- Solution: design a constructive variant of Galois connections and use those
- Bonus: simpler proofs (η is simpler than α)

Formally Verifying Derived Gradual Type Systems



Formally Verifying Derived Gradual Type Systems



What I Did

- 1. Formally verified gradual type system in AGT
- 2. Simplified some proofs by using η instead of α

“Simplified” How?

$$\text{correct}[cod^\sharp]/\eta\eta : \forall (\tau : type) \rightarrow \eta^t \cdot (cod \cdot \tau) \equiv cod^\sharp \cdot (\eta^t \cdot \tau)$$

$$\text{correct}[cod^\sharp]/\eta\eta \perp = \text{refl}$$

$$\text{correct}[cod^\sharp]/\eta\eta \langle \mathbb{B} \rangle = \text{refl}$$

$$\text{correct}[cod^\sharp]/\eta\eta (\tau_1 \langle \rightarrow \rangle \tau_2) = \text{refl}$$

VS

$$\text{correct}[cod^\sharp]/\eta\gamma : \forall \tau^\sharp \rightarrow (\text{pure} \cdot \eta^t) * \cdot ((\text{pure} \cdot cod) * \cdot (\gamma^{ct} \cdot \tau^\sharp)) \\ \sqsubseteq \text{pure} \cdot cod^\sharp \cdot \tau^\sharp$$

$$\text{correct}[cod^\sharp]/\eta\gamma \tau^\sharp = \text{extensionality}[\mathcal{P}] (Q \tau^\sharp) \text{ where}$$

$$Q : \forall \tau_1^\sharp \tau_2^\sharp \rightarrow \tau_2^\sharp \in (\text{pure} \cdot \eta^t) * \cdot ((\text{pure} \cdot cod) * \cdot (\gamma^{ct} \cdot \tau_1^\sharp)) \\ \rightarrow \tau_2^\sharp \in \text{pure} \cdot cod^\sharp \cdot \tau_1^\sharp$$

$$Q _ \cdot \perp (\exists \mathcal{P} \cdot \perp, (\exists \mathcal{P} \cdot \perp, \perp, \perp), \perp) = \perp$$

$$Q \cdot \top _ (\exists \mathcal{P} _ , (\exists \mathcal{P} _ , \top, _), _) = \top$$

$$Q \cdot \langle \mathbb{B} \rangle \cdot \perp (\exists \mathcal{P} \cdot \perp, (\exists \mathcal{P} \cdot \langle \mathbb{B} \rangle, \langle \mathbb{B} \rangle, \perp), \perp) = \perp$$

$$Q (_ \langle \rightarrow \rangle \tau_2^\sharp) \tau_1^\sharp (\exists \mathcal{P} \tau_1, (\exists \mathcal{P} (_ \langle \rightarrow \rangle \tau_2))$$

$$, (_ \langle \rightarrow \rangle \tau_2 \in \gamma[\tau_2^\sharp]), \tau_1 \sqsubseteq \tau_2), \tau_1^\sharp \sqsubseteq \eta[\tau_1]) =$$

$$\text{complete}^{ct} \tau_2 \in \gamma[\tau_2^\sharp] \odot \text{respectful-arg } \tau_1 \sqsubseteq \tau_2 \odot \tau_1^\sharp \sqsubseteq \eta[\tau_1]$$

× 2

Going Forward

- I'm interested in applying verified AGT technique to type systems with blame and type polymorphism.
- Combination is currently an open problem in PL
- I'm interested in verified static analysis frameworks building on constructive Galois connections.

Takeaways

- Gradual type systems are dual to precise ones: allow when success guaranteed vs allow when success plausible.
- If you want to “understand” gradual type systems in the abstract, read the AGT paper [Garcia,Clark,Tanter;2016].
- Designing a gradual type system is fundamentally hard, but there is a method to the madness.
- If you want to use Galois connections in a formal development (Coq/Agda), read the Constructive GCs paper [Darais, Van Horn;2015 Draft].