

# Constructive Galois Connections

With applications to Abstracting Gradual Typing

# Specification

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$\mathbb{P}$  : Set

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`flip` :  $\mathbb{P} \rightarrow \mathbb{P}$

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“ $\text{succ}(n)$  flips the parity of  $n$ ”

$\mathbb{P} : \text{Set}$

$\text{parity} : \mathbb{N} \rightarrow \mathbb{P}$

$\text{flip} : \mathbb{P} \rightarrow \mathbb{P}$

$\forall (n : \mathbb{N}),$

$\text{parity}(\text{succ}(n)) = \text{flip}(\text{parity}(n))$

# Verification

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$\mathbb{P} := E \mid 0$

$\text{parity}(0) := E$

$\text{parity}(\text{succ}(n)) := \text{flip}(\text{parity}(n))$

$\text{flip}(E) := 0 \ ; \ \text{flip}(0) := E$



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$\mathbb{P} \equiv E \mid 0$

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$$\mathbb{P} \equiv E \mid 0$$

$$\begin{aligned} \text{parity}(0) &\equiv E \\ \text{parity}(\text{succ}(n)) &\equiv \text{flip}(\text{parity}(n)) \end{aligned}$$

$$\text{flip}(E) \equiv 0 \ ; \ \text{flip}(0) \equiv E$$

$$\begin{aligned} \forall (n : \mathbb{N}), \\ \text{parity}(\text{succ}(n)) &= \text{flip}(\text{parity}(n)) \end{aligned}$$

Proof is trivial by definition. ■

# Using Abstract Interpretation

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$$\gamma : \mathbb{P}^+ \rightarrow \wp(\mathbb{N})$$

$$\alpha : \wp(\mathbb{N}) \rightarrow \mathbb{P}^+$$

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# Abstract Interpretation

$$\gamma : \mathbb{P}^+ \rightarrow \wp(\mathbb{N})$$

$$\alpha : \wp(\mathbb{N}) \rightarrow \mathbb{P}^+$$

$$\mathbb{P}^+ ::= E \mid 0 \mid T \mid \perp$$

$$\gamma(E) ::= \{n \mid n \text{ is even}\}$$

$$\gamma(0) ::= \{n \mid n \text{ is odd}\}$$

$$\gamma(T) ::= \{n \mid n \in \mathbb{N}\}$$

$$\gamma(\perp) ::= \{\}$$

$$\alpha(N) ::= \sqcup \{n \in \mathbb{N} \mid \text{parity}^+(n)\}$$

# AI Setup



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gc-sound :  $\forall (N : \mathcal{P}(\mathbb{N})), N \subseteq \gamma(\alpha(N))$   
gc-tight :  $\forall (p : \mathbb{P}^+), \alpha(\gamma(p)) \sqsubseteq p$

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alternatively:  $\alpha(N) \sqsubseteq p \text{ iff } N \sqsubseteq \gamma(p)$

# AI Specification (sound)

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$\uparrow \text{SUC}C : \wp(\mathbb{N}) \rightarrow \wp(\mathbb{N})$

$\uparrow \text{SUC}C(\mathbb{N}) \equiv \{\text{succ}(n) \mid n \in \mathbb{N}\}$

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$$\alpha \circ \uparrow \text{SUCC} \circ \gamma \sqsubseteq \text{flip} \quad (\alpha\gamma)$$

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All statements are equivalent.



# AI Verification (sound)

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$(\alpha\gamma): \forall (p : \mathbb{P}^+), \alpha(\uparrow \text{succ}(\gamma(p))) \sqsubseteq \text{flip}(p)$

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$$(\alpha\gamma) : \forall (p : \mathbb{P}^+), \alpha(\uparrow \text{succ}(\gamma(p))) \sqsubseteq \text{flip}(p)$$

Proof by case analysis on  $p$ :

Case E:

$$\begin{aligned} & \alpha(\uparrow \text{succ}(\gamma(E))) \\ &= \alpha(\uparrow \text{succ}(\{n \mid n \text{ is even}\})) \\ &= \alpha(\{\text{succ}(n) \mid n \text{ is even}\}) \\ &= \sqcup_{n \mid n \text{ is even}} \text{parity}(\text{succ}(n)) \\ &= \sqcup_{n \mid n \text{ is even}} \text{flip}(\text{parity}(n)) \\ &= \sqcup_{n \mid n \text{ is even}} \text{flip}(E) \\ &= \sqcup_{n \mid n \text{ is even}} 0 \\ &= 0 \\ &= \text{flip}(E) \end{aligned}$$

...

# AI Verification (sound)

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$(\alpha\alpha) : \forall (N : \wp(\mathbb{N})), \alpha(\uparrow \text{succ}(N)) \sqsubseteq \text{flip}(\alpha(N))$

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$(\alpha\alpha) : \forall(N : \wp(\mathbb{N})), \alpha(\uparrow\text{succ}(N)) \sqsubseteq \text{flip}(\alpha(N))$

Proof by case analysis:

Case  $\exists n \in \mathbb{N}$  st  $n$  is even

$\wedge \neg \exists n \in \mathbb{N}$  st  $n$  is odd:

$\alpha(\uparrow\text{succ}(N))$

$= \alpha(\{\text{succ}(n) \mid n \in \mathbb{N}\})$

$= \sqcup_{n \mid n \in \mathbb{N}, \text{parity}(\text{succ}(n))}$

$= \sqcup_{n \mid n \in \mathbb{N}, \text{flip}(\text{parity}(n))}$

$= \sqcup_{n \mid n \in \mathbb{N}, 0}$

$= 0$

$= \text{flip}(E)$

$= \text{flip}(\sqcup_{n \mid n \in \mathbb{N}, \text{parity}(n)})$

$= \text{flip}(\alpha(N))$

...

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All statements are *not* equivalent.

# Issues with Abstract Interpretation

# Unwanted Complexity

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$\alpha \circ \uparrow \text{succ} \sqsubseteq \text{flip} \circ \alpha$

vs

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Are these equivalent? Yes.

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***State of the art approaches to mechanizing abstract interpreters use  $\gamma\gamma$  exclusively and do not formalize Galois connections in their full generality***

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“returns a value” vs “returns a specification”

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Rediscover Galois connections in this new category

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$x \in \varphi \equiv \varphi(x)$       [for  $\varphi : \wp(A)$  and  $x : A$ ]

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`return(x)(y)`  $\equiv y \sqsubseteq x$

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$\text{return} : A \multimap \wp(A)$

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$\text{return}(x) \approx \{y \mid y \sqsubseteq x\} \approx \{x\}$



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$$\begin{aligned} \bar{f}^* & : (A \multimap \wp(B)) \multimap (\wp(A) \multimap \wp(B)) \\ \bar{f}^*(X)(y) & = \exists x \in X \text{ st } y \in f(x) \end{aligned}$$

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$$f^*(\{x_1 \dots x_n\}) \approx \{y \mid y \in f(x_1) \vee \dots \vee y \in f(x_n)\}$$

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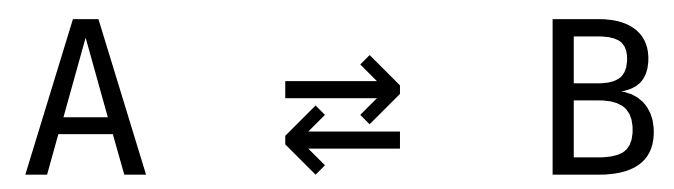
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$$\begin{aligned} \overline{g \diamond f} & : (B \rightarrow \wp(C)) \rightarrow (A \rightarrow \wp(B)) \rightarrow (A \rightarrow \wp(C)) \\ (\overline{g \diamond f})(x) & = g^*(f(x)) \end{aligned}$$



**A**  $\rightleftarrows$  **B**

## Classical

$\alpha : A \rightarrow B$   
 $\gamma : B \rightarrow A$

sound:  $\text{id}^A \sqsubseteq \gamma \circ \alpha$   
tight:  $\alpha \circ \gamma \sqsubseteq \text{id}^B$

sound:  $\forall (x : A), x \sqsubseteq \gamma(\alpha(x))$   
tight:  $\forall (z : B), \alpha(\gamma(z)) \sqsubseteq z$

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## Kleisli

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sound:  $\forall (x : A), \{x\} \sqsubseteq \gamma^*(\alpha(x))$   
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For Classical, A is typically instantiated to  $\wp(A)$

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$$\text{sound: } \forall (X : \wp(A)), X \subseteq \gamma(\alpha(X))$$
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# Relationships

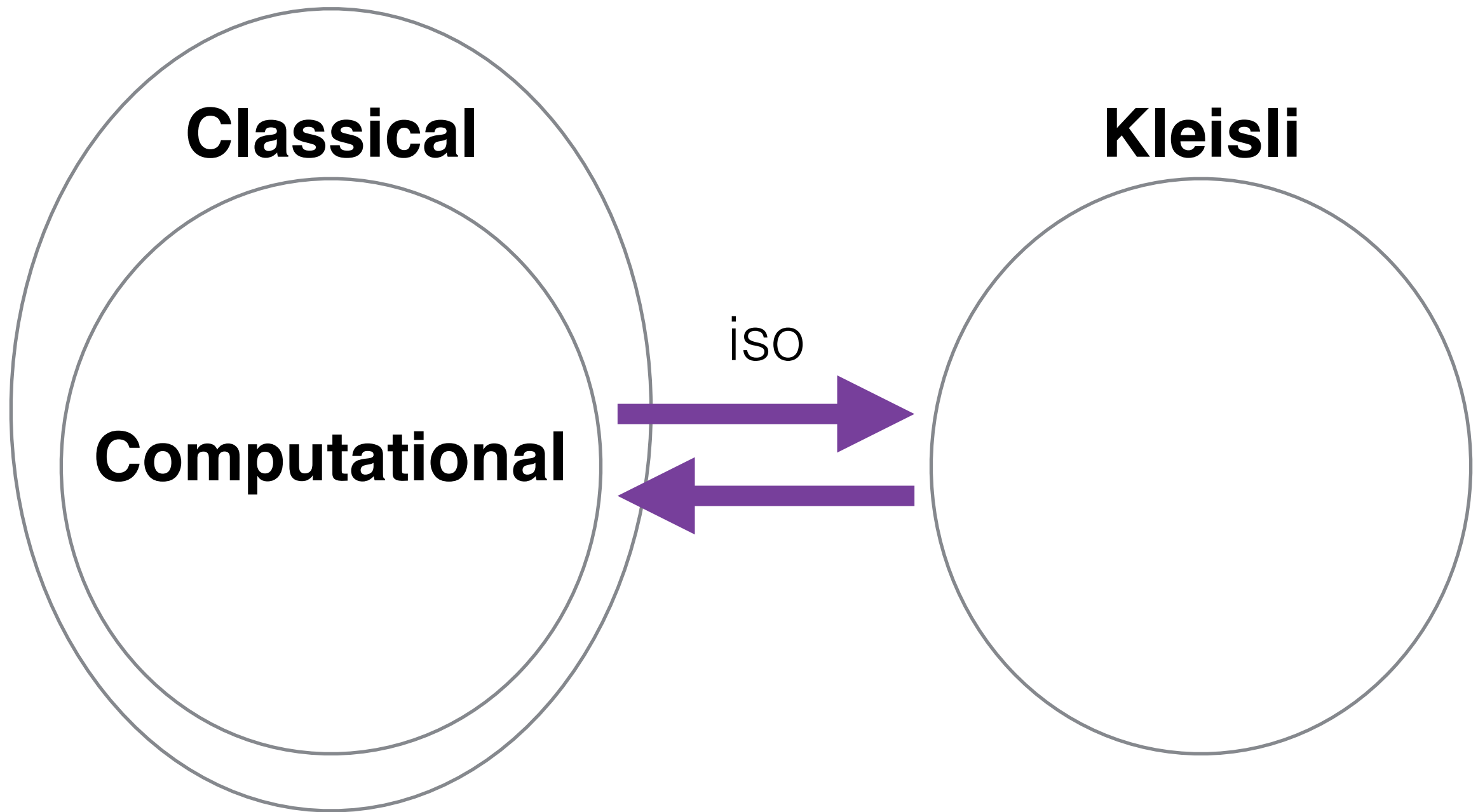


**Classical**



**Kleisli**

# Relationships



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“ $\alpha$  has no monadic effect”

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$A \rightleftarrows B$

“ $\alpha$  has no monadic effect”

$\exists \eta : A \rightarrow B \text{ st}$   
 $\alpha = \lambda x. \{\eta(x)\}$

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$$\text{tight: } \forall (z : B), \alpha^*(\gamma(z)) \subseteq \{z\}$$
$$\text{sound: } \forall (x : A), \exists (z : B) \text{ st } z \in \alpha(x) \wedge x \in \gamma(z)$$

# A $\rightleftarrows$ B

## Kleisli

$\alpha : A \multimap \wp(B)$

$\gamma : B \multimap \wp(A)$

sound:  $\text{return}^A \sqsubseteq \gamma \diamond \alpha$

tight:  $\alpha \diamond \gamma \sqsubseteq \text{return}^B$

sound:  $\forall(x : A), \{x\} \subseteq \gamma^*(\alpha(x))$

tight:  $\forall(z : B), \alpha^*(\gamma(z)) \subseteq \{z\}$

## Constructive

$\eta : A \multimap B$

$\gamma : B \multimap \wp(A)$

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For Constructive GC,  $\alpha \equiv \lambda x. \{\eta(x)\}$

$A \rightleftarrows B$

## Constructive

$\eta : A \rightarrow B$

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 $x \in \gamma(\eta(x))$

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$x \in \gamma(z) \Rightarrow \eta(x) \sqsubseteq z$

## Constructive

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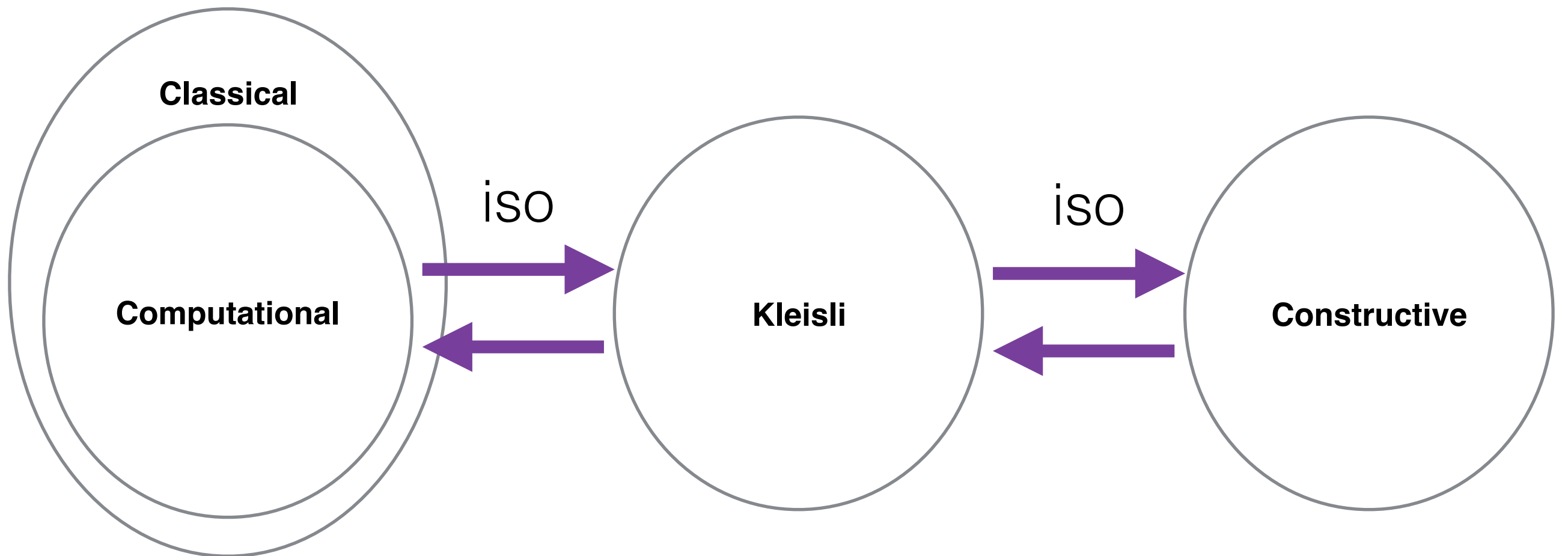
tight:  $\alpha \diamond \gamma \sqsubseteq \text{return}^B$

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# Relationships



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You can calculate with this approach towards interpreters which are both sound *and* computable by construction.

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Interact with classical GCs (through isomorphisms)

You can calculate with this approach towards interpreters which are both sound *and* computable by construction.

$\eta$  and  $\gamma$  are constructive, so mechanizing general framework and extraction is no problem.

AGT with CGCs

# AGT In A Nutshell

$\tau \in \text{type} ::= \mathbb{B} \mid \tau \rightarrow \tau$   
 $e \in \text{exp} ::= b \mid \underline{\text{if}}(e)\{e\}\{e\}$   
 $\quad \quad \quad \mid x \mid \underline{\lambda}(x).e \mid e(e)$

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$e_1 : \mathbb{B}$

$e_2 : \tau$

$e_3 : \tau$

---

$\text{if}(e_1)\{e_2\}\{e_3\} : \tau$  [  $\mathbb{B} - E$  ]

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$e_1 : \tau_1 \rightarrow \tau_2$   
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$e_1(e_2) : \tau_2$  [  $\rightarrow$  - E ]

# AGT In A Nutshell



$\tau \in \text{type}^\# ::= \mathbb{B} \mid \tau \rightarrow \tau \mid ?$   
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-----  $[\rightarrow - E]$    
 $e_1(e_2) : \tau_{21}$

# Plausibility

$$\begin{array}{l} e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \\ e_2 : \tau_2 \quad \tau_2 \sim \tau_{11} \\ \hline e_1(e_2) : \tau_{21} \end{array} \quad [\rightarrow -E]$$

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$$\frac{e_1 : \tau_1 \quad \tau_1 \sim \tau_{11} \rightarrow \tau_{21} \quad e_2 : \tau_2 \quad \tau_2 \sim \tau_{11}}{e_1(e_2) : \tau_{21}} [\rightarrow -E]$$

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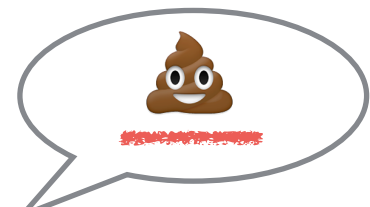
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<Gradual Rob> 



“If you say so...”



# Consistent Equality

$$g\tau \sim g\tau$$

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“meaning” of a gradual type

$\llbracket \_ \rrbracket : \text{type}^\# \rightarrow \wp(\text{type})$

$\llbracket \mathbb{B} \rrbracket = \{\mathbb{B}\}$

$\llbracket g\tau_1 \rightarrow g\tau_2 \rrbracket = \{\tau_1 \rightarrow \tau_2 \mid \tau_1 \in \llbracket g\tau_1 \rrbracket \wedge \tau_2 \in \llbracket g\tau_2 \rrbracket\}$

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consistent equalities are “plausibilities”

$$\tau_1 \in \llbracket g\tau_1 \rrbracket$$

$$\tau_2 \in \llbracket g\tau_2 \rrbracket \quad \tau_1 = \tau_2$$

=====

$$g\tau_1 \sim g\tau_2$$

# The Whole AGT Story

- The “meaning” function  $\llbracket \_ \rrbracket$  forms a Galois connection between precise and gradual types.
- Guided by the Galois connection, define consistent equality and derive dynamic and static semantics.
- “Semantics design by abstract interpretation”

# Galois Connections

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# Galois Connections

*“specification effect”*

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Demo